

SW389 – Compliance and Risk Management Professional

An accomplished, results-oriented professional with extensive experience in creating and managing corporate-wide compliance and risk management programs as well as having implemented these initiatives across global and community financial organizations. Candidate's background includes a proven track record of consistently establishing cost-saving initiatives and offers a firm grasp of all aspects of regulatory regulations including the implementation, monitoring, testing, review and training for all consumer regulatory compliance and protection laws; the Bank Secrecy Act (BSA), Anti-Money Laundering (AML), Office of Foreign Assets Control (OFAC) and sanction screening programs; and, the Community Reinvestment Act (CRA). Candidate has developed and managed Third-Party Provider and Internal Audit programs for large and small organizations. Adept at utilizing broad based skills to enable easy collaboration among varying facets, they have aided in creating operationally efficient, secure, and synergistic organizations. Candidate's leadership style focuses on engaging team members to communicate, dynamically solve problems and deliver the highest quality solutions. As a detail-oriented individual with a passion for excellence, candidate is adept at listening to clients' needs, then analyzing and implementing the best designed solution. Effective and comfortable communicating with all levels of stakeholders. Unique background makes candidate a strong leader for major change projects. Candidate has devoted career to developing proven Enterprise Risk Management strategies in order to meet or exceed client expectations.