



FIDELITY BANK

Member FDIC

JOB TITLE: Senior Internal Auditor
DEPARTMENT: Internal Audit
ORGANIZATIONAL RELATIONSHIP: Reports to Director of Internal Audit
FLSA STATUS: Exempt
JOB LOCATION: 3 Corporate Square, Atlanta, GA

INTERESTED PARTIES SHOULD SUBMIT THEIR RESUME TO: Stephanie.lowe@lionbank.com

Position Summary:

Support the internal audit function by performing assigned audit procedures of all levels of complexity, and sometimes leading assigned internal audits. Includes planning audits; collecting, examining and evaluating information; communicating the results of audit work; and following up to ascertain that appropriate action is taken on reported audit findings, with the ultimate objective of assessing the adequacy of internal controls for ensuring the effectiveness and efficiency of operations, reliability of financial reporting, and compliance with applicable laws and regulations and bank policies and procedures. Other duties as assigned.

Essential Duties and Responsibilities:

- Plan or assist in planning internal audit engagements to ensure that an effective and efficient audit is performed. This includes conducting necessary planning meeting(s); reviewing prior audit reports and regulatory examinations; identifying potential risks; documenting the system of internal controls in place through process narratives or other means; updating the continuing audit file; and developing an audit program responsive to the risks identified in the planning process. Perform audit testing procedures in accordance with generally accepted auditing standards. This includes observation and inquiry of bank personnel, analytical reviews, tests of transactions, and review of reconciliations. Testing should be documented by complete, neat, and accurate audit work papers that document the auditor's conclusion of the testing performed.
- Document and present concerns to the Director of Internal Audit and to the responsible manager or supervisor; provide recommendation to resolve the concern.
- Follow up on reported audit concerns to assess the adequacy of corrective action taken on the identified concerns. Document results.
- Supervise and coach Staff Auditors. Perform special reviews, research, and administrative duties as requested by the Director of Internal Audit, the Senior Risk Manager, or Executive Management.
- Assist with the annual assessment of the effectiveness of the Fidelity Bank's and Fidelity Southern Corporation's internal controls and procedures for financial reporting (i.e. SOX 404/FDICIA).
- Provide information and perform audit procedures as requested by Ernst and Young, LLP in connection with their financial audit of the Company. Audit activities should be performed in a timely manner and within budgeted hours in order to complete all audits on the audit schedule. Keep Director of Internal Audit informed of the status of assigned work.

Job Skills/Required Experience:

- Bachelor's degree, preferably in accounting, finance, business, or a related field.



FIDELITY BANK

Member FDIC

- Three (3) or more years' experience in Public Accounting or working in accounting, financial reporting, or internal audit at a financial institution.
- Relevant professional certification, such as CPA, CIA, CFSA, or CISA.
- Proficiency in applying internal auditing standards, procedures, and techniques.
- A good understanding of accounting principles and techniques.
- Strong computer skills and a good understanding of information technology.
- Advanced risk management, critical thinking, and problem solving skills.
- Strong attention to detail.

Language Ability:

Requires ability to read, analyze and interpret simple instructions, short correspondence, and memos. Must possess excellent interpersonal, verbal, and written communication skills and the ability to respond to common inquiries from internal and external customers. Demonstrated presentation and public speaking skills required to effectively present information in one-on-one and small group situations by phone, email or in person.

Physical Demands:

The physical demands described here are representative of those that must be met by an employee to successfully perform the essential functions of this job. Reasonable accommodations may be made to enable individuals with disabilities to perform the essential functions.

While performing the duties of this job the employee is regularly required to sit; use hands to finger, handle, or feel; reach with hands and arms and talk or hear. The employee is occasionally required to stand; walk and stoop, kneel or crouch.

The employee may occasionally be required to lift up to 40 pounds. The vision requirements include: close vision.

Disclaimer: This job description should not be construed to imply that these requirements are the exclusive standards of the position. Employees will follow any other instructions and perform other related duties as may be required by their supervisor.

Equal Employment Opportunity Statement of Policy: In accordance with Title VII of the Civil Rights Act of 1964, the Age Discrimination in Employment Act of 1967, the Vietnam-Era Veterans Readjustment Assistance Act of 1974, Section 503 of the Rehabilitation Act of 1973, and the Genetic Information Nondiscrimination Act of 2008, Fidelity Southern Corporation and its subsidiaries ("Fidelity") will ensure that all qualified persons are considered for employment, promotion, benefits and other personnel actions without regard to their race, color, religion, sex, age, national origin, sexual orientation, gender identity, disability status, genetic information, or eligible veteran status.